

Einstein Coefficients in Molecular Spectroscopy: A Critical Review for Astrophysical Applications

[Amit Kumar]¹, [BhagvatK Kumthekar]², [GanpatMDak]³

1[Department of Physics, GITAM deemed to be University, Hyderabad-502329, Telangana, India],

2[Department of Physics, Nutan Mahavidyalaya, Selu-431503, Maharashtra, India]

3[Sciences and Humanities, Government Polytechnic, Nanded-431605, Maharashtra, India]

1[a_mittomer@yahoo.co.in]

Abstract

Einstein coefficients form the foundational framework for understanding the interaction between radiation and matter. This article reviews the theoretical formulations, significance, and astrophysical applications of Einstein A, B coefficients, with a focus on asymmetric top molecules in the cold interstellar medium (ISM). We analyze recent literature and integrate concepts from quantum spectroscopy, radiative transfer, and observational astrophysics. The role of Einstein coefficients in determining molecular abundances, temperature, and critical density is explored, highlighting their indispensable function in current and future astronomical missions.

Keywords—Einstein coefficients; molecular spectroscopy; radiative transitions; line strengths; molecular abundances; interstellar medium (ISM); rotational transitions; asymmetric top molecules.

1 Introduction

The interaction of photons with molecular energy levels—manifesting through absorption, spontaneous emission, and stimulated emission—is governed by Einstein’s A and B coefficients [1]. These parameters are central to radiative transfer theory and have far-reaching applications from laboratory spectroscopy to astrophysical modeling [2]. This review focuses on their application to asymmetric top molecules in the ISM, where rotational transitions in the microwave and submillimeter bands serve as essential probes for physical diagnostics [3]. The Einstein A-coefficients are one of the important input parameters used in the studies of radiative transfer. In a system, radiative transitions can take place between those two levels where selection rules are allowed. Such transitions are known as the optically allowed transitions and the transitions which are not allowed by the selection rules are known as the optically forbidden transitions. In astrophysics, especially within cold molecular clouds (~ 10 K), the low kinetic energies mean that only the lowest rotational energy levels of molecules are populated. Therefore, precise knowledge of the Einstein A-coefficients for these transitions becomes indispensable.

2 Theoretical Framework of Einstein Coefficients

Einstein proposed three coefficients:

- Einstein Coefficient of Spontaneous Emission A_{21} : This coefficient represents the probability per unit time of spontaneous emission from upper (E_2) to lower (E_1) state. A higher A_{21} value indicates a greater probability of spontaneous decay and thus a shorter lifetime of the upper state.
- Einstein Coefficient of Absorption B_{12} : This coefficient is related to the probability of absorption per unit spectral energy density. The rate of absorption is proportional to both B_{12} , the number density of molecules in the lower state, and the energy density of the radiation field at the transition frequency.
- Einstein Coefficient of Stimulated Emission B_{21} : This coefficient describes the probability of stimulated emission per unit spectral energy density from upper (E_2) to lower (E_1) state by an incident photon of frequency ν_{21} . Similar to absorption, the rate of stimulated emission is proportional to B_{21} , the number density of molecules in the upper state, and the energy density of the radiation field at the transition frequency.

At thermodynamic equilibrium, the following relationships hold:

$$\frac{A_{21}}{B_{21}} = \frac{8\pi h\nu^3}{c^3}, \quad g_1 B_{12} = g_2 B_{21}$$

where h is Planck's constant, c is the speed of light, ν is the transition frequency, and g_1, g_2 are degeneracies of the lower and upper levels, respectively [1].

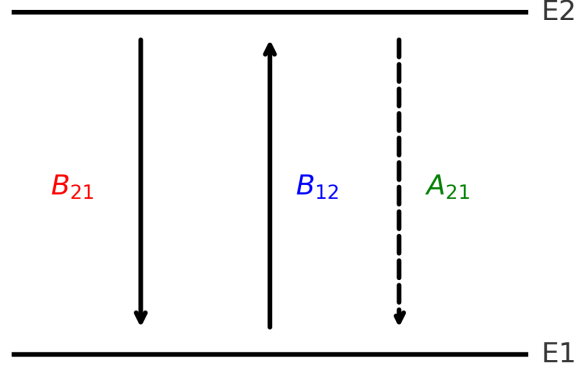


Figure 1: Energy level transitions: upward absorption (B_{12}), downward spontaneous emission (A_{21}), and stimulated emission (B_{21}).

3 Importance in Molecular Spectroscopy and ISM

Einstein A-coefficients dictate the intrinsic strength of emission lines. In cold ISM environments (e.g., $T_k \sim 10$ K), only the lowest rotational levels are significantly populated [4]. The population ratio is given by the Boltzmann distribution:

$$\frac{n_u}{n_l} = \frac{g_u}{g_l} \exp\left(-\frac{\Delta E}{kT_k}\right)$$

where T_k is the kinetic temperature.

Higher A_{21} implies shorter radiative lifetimes and stronger emission lines, critical for detecting polar molecules like H_2CO , HCN , and H_2CS [5]. For asymmetric top molecules, the calculation of A_{21} is complex due to non-diagonal Hamiltonians and multiple rotational axes [9].

Table 1: Sample Einstein A-Coefficients for Selected Molecules

Molecule	Transition	Freq (GHz)	A_{21} (s^{-1})	Ref
CO	J=1→0	115.27	7.2×10^{-8}	[13]
HCN	J=1→0	88.63	2.4×10^{-5}	[13]
H_2CO	$1_{10} \rightarrow 1_{11}$	4.83	2.8×10^{-6}	[13]
H_2O	$6_{16} \rightarrow 5_{23}$	22.24	1.8×10^{-2}	[14]

4 Radiative Transfer and Critical Density

The radiative transfer equation in the ISM is influenced by Einstein coefficients and can be expressed as:

$$\frac{dI_\nu}{ds} = j_\nu - \alpha_\nu I_\nu$$

where j_ν and α_ν are the emission and absorption coefficients. The critical density n_{crit} , defined by:

$$n_{crit} = \frac{A_{21}}{\gamma_{ul}}$$

where γ_{ul} is the collisional de-excitation rate coefficient. It helps to identify the conditions under which radiative processes dominate over collisional de-excitation. Molecules with low n_{crit} (e.g., CO J=1→0) are easily detectable even in diffuse clouds [6].

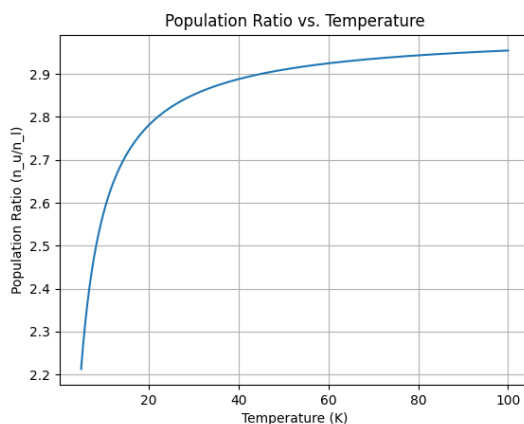


Figure 2: Population ratio vs. temperature for a rotational transition [13].

5 Spectroscopic Modeling of Asymmetric Top Molecule

Treatment of asymmetric top molecules is quite complicated as they have no preferential direction for quantization and the energy matrix is non-diagonal. Rotational wave-functions for an asymmetric top molecule can be described by linear combinations of symmetric top wave-functions [7].

$$A_{J\tau M}(\alpha, \beta, \gamma) = \sqrt{\frac{2J+1}{8\pi^2}} \sum_{K=-J}^J g_{\tau K}^J D_{MK}^J(\alpha, \beta, \gamma)$$

where α, β, γ are Euler angles specifying the orientation of the molecule, J the rotational quantum number, $g_{\tau K}^J$ the expansion coefficients, D_{MK}^J the Wigner D-functions, which are the wave function for a symmetric top molecule. The pseudo quantum number τ is defined by $\tau = k_a - k_c$

In the representation, the Einstein A-coefficient, A_{21} for asymmetric tops is calculated using [8]:

$$A(J'\tau' \rightarrow J\tau) = \frac{64\pi^4 \nu^3 \mu^2 S}{3hc^3(2J'+1)}$$

where

$$S = (2J+1) \left[\sum_{K=-J}^J g_{\tau K}^J g_{\tau' K}^{J'} C_{JK10}^{J'K} \right]^2$$

is the line strength, μ is the dipole moment and $C_{JK10}^{J'K}$ the Clebsch Gordon coefficient [9].

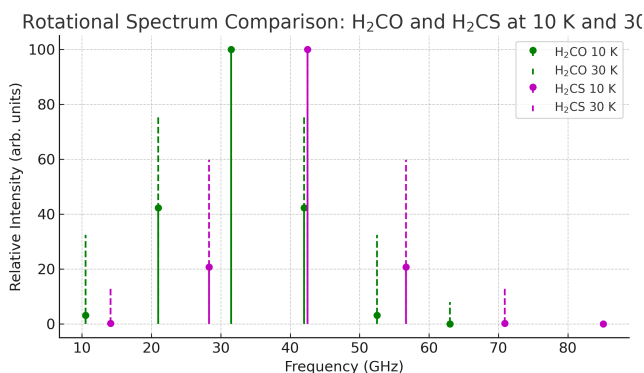


Figure 3: Simulated rotational spectra of H₂CO and H₂CS at 10 K and 30 K. Increasing temperature broadens population distribution, enhancing transitions at higher frequencies using PGOPHER software [16].

6 Applications in Observational Astrophysics

Einstein A-coefficients serve as the bridge between theoretical molecular spectroscopy and observational diagnostics in astronomy. They quantify the spontaneous emission rates and hence define the intrinsic brightness of molecular transitions observed by telescopes such as ALMA, NOEMA, and JWST.

In cold, low-density regions like prestellar cores (e.g., TMC-1), radiative decay dominates over collisional excitation. As a result, certain transitions—such as the $1_{11} \rightarrow 1_{10}$ line of H_2CO at 4.83 GHz—exhibit *anomalous absorption* against the 2.73 K cosmic microwave background (CMB) [10, 11]. This occurs when the excitation temperature $T_{\text{ex}} < T_{\text{CMB}}$, due to subthermal excitation and low A_{ul} values. These lines are valuable tracers of dense, cold gas otherwise invisible in emission.

Furthermore, Einstein coefficients underpin estimates of the *critical density*:

$$n_{\text{crit}} = \frac{A_{ul}}{\gamma_{ul}},$$

which determines the density regime under which a line will be efficiently excited. For example:

- **CO**: Low A_{21} , used to trace cold, diffuse molecular gas.
- **HCN**: High A_{21} , probes high-density star-forming regions.
- **H₂O**: Maser transitions with very high A_{21} , sensitive to strong velocity gradients and shocks [14].

Accurate A_{ul} values are essential inputs in radiative transfer codes like RADEX and LIME [12], which simulate line emission under LTE and non-LTE conditions. These simulations are used both in proposal planning (e.g., ALMA sensitivity calculators) and in the interpretation of observed spectra.

Spectroscopic databases such as HITRAN [3], JPL [15], and LAMDA [13] provide curated Einstein A-values, enabling reliable line identification and modeling. In this context, Einstein coefficients are not just atomic constants—they are practical tools embedded in the workflow of modern astrophysics.

7 Conclusion

Einstein A and B coefficients underpin the quantitative interpretation of molecular spectra, serving as critical parameters in radiative transfer modeling and line diagnostics across diverse astrophysical environments. This review has examined their theoretical foundation, significance in calculating transition probabilities, and role in non-LTE excitation analysis.

Special attention was given to asymmetric top molecules such as H_2CO and H_2CS , whose rotational transitions are widely used to probe cold, dense regions of the ISM. The discussion highlighted applications in observational spectroscopy, including anomalous absorption phenomena and critical density estimates, supported by simulations relevant to instruments such as ALMA and JWST.

Looking forward, the continued development of high-accuracy spectroscopic databases, integration of quantum-chemical uncertainties, and enhanced coupling with radiative transfer solvers will be essential. These improvements will further enable the derivation of physical and chemical parameters from spectral data with greater precision and reliability.

In this context, Einstein coefficients are not merely constants, but key components in the bridge between fundamental molecular physics and astrophysical insight.

Acknowledgment

The authors would like to thank Prof. (Dr.) Suresh Chandra for their valuable guidance and support and the institution GITAM university for providing me the computational facilities.

The use of publicly available molecular databases, including CDMS, LAMDA, and JPL, is gratefully acknowledged. Assistance with figure generation and literature synthesis was supported through the careful use of advanced language models under direct human supervision to ensure scientific accuracy and integrity.

References

- [1] A. Einstein, “Zur Quantentheorie der Strahlung,” *Phys. Z.*, vol. 18, pp. 121–128, 1917.
- [2] R. W. Boyd, *Nonlinear Optics*, 3rd ed. San Diego, CA: Academic Press, 2008.
- [3] L. S. Rothman *et al.*, “The HITRAN2020 molecular spectroscopic database,” *J. Quant. Spectrosc. Radiat. Transf.*, vol. 277, p. 107949, 2022.
- [4] S. Chandra and S. A. Shinde, “Rotational transitions in ISM molecules,” *Pramana*, vol. 62, pp. 967–975, 2004.
- [5] M. Elitzur, *Astronomical Masers*. Dordrecht: Kluwer Academic Publishers, 1992.
- [6] D. A. Neufeld, “Water in the interstellar medium,” *Proc. Int. Astron. Union*, vol. 291, pp. 101–110, 2013.
- [7] S. Chandra, D. A. Varshalovich, and W. H. Kegel, *Astron. Astrophys. Suppl.*, vol. 55, p. 51, 1984.
- [8] S. Chandra, P. G. Musrif, and S. V. Shinde, *Bull. Astron. Soc. India*, vol. 34, p. 11, 2006.
- [9] W. Gordy and R. L. Cook, *Microwave Molecular Spectra*, 3rd ed. New York: Wiley, 1984.
- [10] P. Palmer and B. Zuckerman, “Anomalous HCO absorption in dark clouds,” *Astrophys. J. Lett.*, vol. 156, p. L147, 1969.
- [11] J. R. Shirley, “The critical density and the effective excitation density of commonly observed molecular dense gas tracers,” *Publ. Astron. Soc. Pac.*, vol. 127, pp. 299–317, 2015.
- [12] F. F. S. van der Tak *et al.*, “A computer program for fast non-LTE analysis of interstellar line spectra,” *Astron. Astrophys.*, vol. 468, pp. 627–635, 2007.
- [13] LAMDA Database. [Online]. Available: <https://home.strw.leidenuniv.nl/~moldata/>
- [14] H. S. P. Müller *et al.*, “The Cologne Database for Molecular Spectroscopy,” *Astron. Astrophys.*, vol. 370, pp. L49–L52, 2001.
- [15] JPL Molecular Spectroscopy Catalog. [Online]. Available: <https://spec.jpl.nasa.gov/>
- [16] C. M. Western, “PGOPHER: A program for simulating rotational, vibrational and electronic spectra,” *J. Quant. Spectrosc. Radiat. Transf.*, vol. 186, pp. 221–242, 2017.